



## Order Type Disclosure

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FinTron Invest LLC (“FinTron”) is furnishing this document to you to provide you information about how orders you submit to FinTron may differ from traditional orders.

### FinTron Limit Orders

#### Key Takeaways:

- **FinTron Limit Orders are different than traditional limit orders.**
- **FinTron Limit Orders do not offer price protection.**

#### How traditional limit order work?

Limit orders that you submit to FinTron (“FinTron Limit Order”) differ from traditional limit orders.

A traditional limit order is a type of order to purchase or sell a security at a specified price or better. For traditional buy limit orders, the order will be executed only at the limit price or a lower one, while for sell limit orders, the order will be executed only at the limit price or a higher one. This is referred to as “price protection” because the customer is able to determine the worst price they will buy or sell the security.

#### How FinTron Limit Orders work?

FinTron Limit Orders are not routed to market centers as traditional limit orders, but instead are held by FinTron until the limit price set by the client (“FinTron Limit Price”) is reached. When the FinTron Limit Price is reached, the order is triggered and FinTron routes a market order to its clearing firm (Apex Clearing Corporation) for execution. The market order routed to Apex does not offer price protection.

There is no guarantee that a FinTron Limit Order will be executed at or near the FinTron Limit Price or will be executed at all. Please be aware that your FinTron Limit Order may not be triggered. Even if a FinTron Limit Order is triggered, there is no guarantee that the order will be executed at or near the FinTron Limit Price you specify.