



Fractional Share Trading Disclosure

Orders for Fractional Shares

Linden Thomas and Company Securities, LLC (“LTCS”) will not accept opening orders less than \$5.00 and will not accept closing orders less than \$1.00. Not all securities available are eligible for fractional share orders. LTCS only accepts market orders for fractional shares and does not permit limit orders for fractional shares. Please see the “LTCS Order Type Disclosure” for more information.

Liquidity and Transferability of Fractional Shares

Fractional shares are illiquid outside of APEX Clearing Corp. (“APEX”). Fractional shares cannot be transferred to another brokerage. If you would like to transfer your portfolio to another brokerage via ACATS, only whole shares will be transferred in kind. Any fractional shares will be liquidated and the cash proceeds transferred out.

Rounding

All securities purchased by you through the FinTron Platform are custodied by Apex. Apex rounds your fractional share holdings to the fifth decimal place. Apex rounds value of fractional shares and any dividend paid on a fractional share is rounded to the nearest cent.

Voting

You may vote your fractional shares. Apex aggregates any proxy votes for LTCS customers, and reports those votes to the issuer or the issuer’s designated vote tabulator. Your votes may not be counted by the issuer or its designated vote tabulator.